

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:	)	
FAIRFIELD SENTRY LIMITED, et al.,	)	<b>Chapter 15 Case</b>
Debtors in Foreign Proceedings.	)	<b>Case No: 10-13164 (SMB)</b>
	)	<b>Jointly Administered</b>
	)	
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION) and	)	
FAIRFIELD SIGMA LIMITED (IN LIQUIDATION), acting	)	<b>Adv. Pro. No. 10-03635 (SMB)</b>
by and through the Foreign Representatives thereof, and	)	
KENNETH KRYS and CHARLOTTE CAULFIELD, solely in	)	
their capacities as Foreign Representatives and Liquidators	)	
thereof,	)	<i>Appealed to District Court at</i>
	)	
Plaintiffs,	)	
-against-	)	<b>Case No.: 1:19-cv-04964-VSB</b>
	)	
ABN AMRO SCHWEIZ AG A/K/A ABN AMRO	)	
(SWITZERLAND) AG, et al.,	)	
	)	
Defendants.	)	
	)	
	)	
This document is also being filed in the lead adversary	)	
proceeding, <i>Fairfield Sentry Ltd. (in Liquidation), et al. v.</i>	)	
<i>Theodoor GGC Amsterdam, et al.</i> , Adv. Pro. No. 10-03496.	)	
	)	

**THE KATTEN DEFENDANTS' JOINDER TO BNP PARIBAS (SUISSE),  
BNP PARIBAS (SUISSE) SA EX FORTIS, BNP PARIBAS (SUISSE) SA  
PRIVATE, CACEIS BANK LUXEMBOURG, AND HSBC'S  
COUNTER-STATEMENT OF ISSUES TO BE PRESENTED AND COUNTER  
DESIGNATIONS OF ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL**

Defendant-Appellees Harmony Capital Fund Ltd., Lloyds TSB Bank Geneva, RBS Coutts Bank Ltd., now known as Coutts & Co. Ltd., and RBC Investor Services Bank, S.A., formerly known as RBC Dexia Investor Services Bank, S.A., and possibly the entity intended by plaintiff in naming as a defendant "RBC Dexia Investor Service Julius Baer SICAV" (the "Katten Defendants"), by and through their undersigned counsel, respectfully file this joinder in

Defendant-Appellees BNP Paribas (Suisse), BNP Paribas (Suisse) SA Ex Fortis, BNP Paribas (Suisse) SA Private, Caceis Bank Luxembourg, and HSBC's Counter-Statement of Issues to be Presented and Counter Designations of Items to be Included on Appeal, filed June 4, 2019, in the above captioned adversary proceeding (ECF No. 2736 in 10-3496; ECF No. 437 in 10-3635) (the "BNP Counter-Statement").

**RESERVATION OF RIGHTS**

The Katten Defendants expressly reserve the right to supplement the record on appeal or cross-appeal and to adopt the designation of any items designated or counter-designated by any other appellant, cross-appellant, or cross-appellee to any of the appeals or cross-appeals from the Appealed Decisions and Orders (as defined in the BNP Counter-Statement).

Dated: June 4, 2019  
New York, New York

Respectfully submitted,

By: /s/ Anthony L. Paccione  
Anthony L. Paccione  
Mark T. Ciani

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